



PTO/SB/21 (09-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/769,216

Filing Date

January 30, 2004

First Named Inventor

Lai, Canfeng

Art Unit

1763

Examiner Name

Alejandro Mulero, Luz L.

Attorney Docket Number

A5152D5/T39550

ENCLOSURES (Check all that apply)

- | | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
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| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | Response to Notice of Non-Compliant Amendment |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | Return Postcard |
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| <input type="checkbox"/> Certified Copy of Priority Document(s) | Remarks | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application | | |
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Townsend and Townsend and Crew LLP

Signature

Printed name

Patrick M. Boucher

Date

January 21, 2005

Reg. No.

44,037

CERTIFICATE OF TRANSMISSION/MAILING

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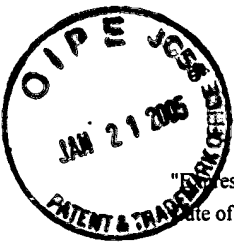
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January 21, 2005



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By: Nina L. McNeill
Nina L. McNeill

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Canfeng Lai, et al.

Application No.: 10/769,216

Filed: January 30, 2004

For: MULTI-CORE TRANSFORMER
PLASMA SOURCE

Customer No. 20350

Confirmation No. 9570

Examiner: Alejandro Mulero, Luz L.

Technology Center/Art Unit: 1763

RESPONSE TO NOTICE OF NON-
COMPLIANT AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

In response to the Notice of Non-Compliant Amendment mailed by the Patent and Trademark Office on December 22, 2004 for the above-identified patent application, attached is a substitute Preliminary Amendment that contains a complete listing of all of the claims. Applicants believe this Preliminary Amendment is now compliant.

Canfeng Lai et al.
Application No.: 10/769,216
Page 2

PATENT

Respectfully submitted,


Patrick M. Boucher
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By: Anna L. McNeil

PATENT

Atty Docket No.: A5152D5/T39550

Client Ref. No.: 005152 D5

USA/DSM/HDP/CVD/JW

TTC Ref. No. 016301-039550

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Canfeng Lai, et al.

Application No.: 10/769,216

Filed: January 30, 2004

For: MULTI-CORE TRANSFORMER
PLASMA SOURCE

Customer No.: 20350

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Examiner: Alejandro Mulero, Luz L.

Technology Center/Art Unit: 1763

PRELIMINARY AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination of the above-referenced application and in response to the Notice of Non-Compliant Amendment mailed December 22, 2004, please enter the following amendments and remarks:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 5 of this paper.